



Simplifying Alternative Investments

Alternative investments are the fastest growing asset class among retail investors. However, some advisors still avoid them due to complexity, greater regulatory risk and time, labor and paper intensive processing demands.

The Docupace Alternative Investment Solution, is the answer advisors and Broker-Dealers have been looking for. This is the only tool that helps ensure compliance with all state, product and firm rules, while eliminating processing errors and risk. The Docupace Alternative Investment Solution makes it faster and easier than ever to invest in alternatives.

With Docupace's Alt. Investment Solution, You Can:

- ◆ Easily prospect a book of business for eligible clients per product
- ◆ Reduce paperwork and process AI transactions in days rather than weeks
- ◆ Ensure compliance with the latest regulatory rules per state, Broker-Dealer and product
- ◆ Maintain documents through an entirely electronic process from start to e-signature

Docupace's Alt. Investment Solution is part of the Docupace Platform —the wealth management industry's only turn-key SEC/FINRA compliant Straight-Through-Processing platform for electronic workflow and document processing.

ENTIRELY PAPERLESS. ENTIRELY SECURE. ENTIRELY COMPLIANT.



ONE PART OF THE COMPLETE PROCESS

AI Solution Process:



Effectively prospect through a proprietary search engine that syncs with advisors' CRM



Electronically match against state, firm and product rules in real-time



Automatically pre-populates fields and validates data across all forms



Offer E-sign or wet sign to client



Safely and securely send signed forms electronically to custodian for investment

AI Solution Benefits ALL:

Broker-Dealers

Decreases processing errors, dramatically reducing NIGO conditions and helping to guarantee audit readiness

Advisors

Using their own CRM, the robust search tool identifies all eligible clients from the start, reducing time spent prospecting, virtually eliminating paperwork and processing transactions in days rather than weeks

Compliance Officers

Enhances visibility into subscription process, strengthening compliance controls and reducing risk tied to changing state and federal regulations

Regulators

Increases regulatory insight and reporting capabilities

Investors

Makes subscription process simpler and more efficient, improving experience

It's easy – simply contact your Relationship Manager today and we'll take it from there. Once we have everything in place, Docupace can have you up and running on AI Solution in no time.

Call **866-582-3713**
or visit **docupace.com**

