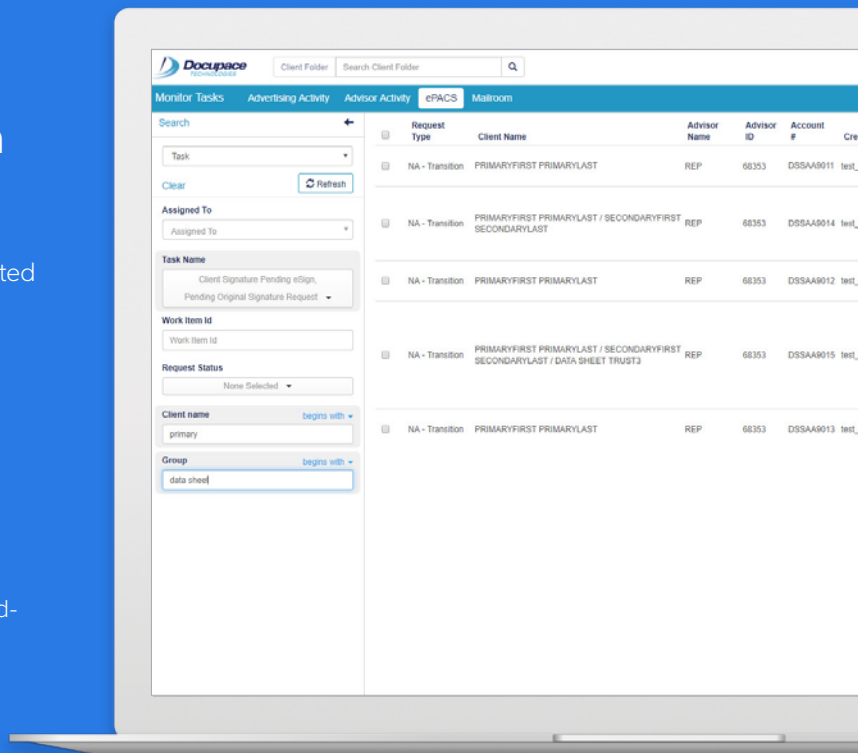




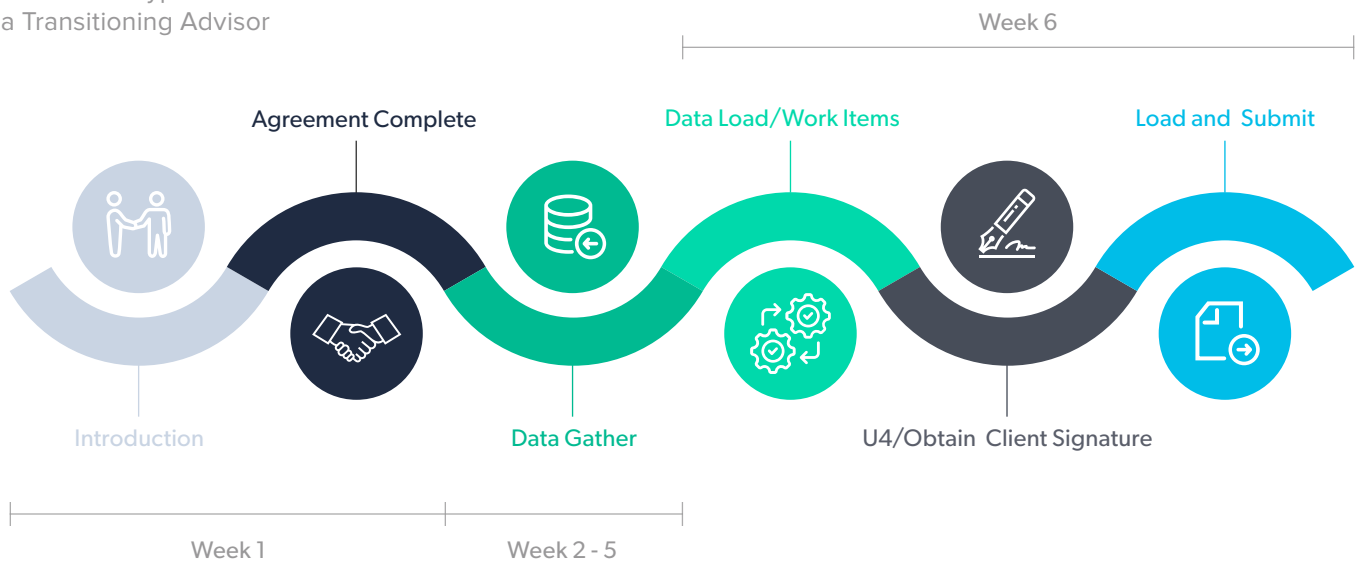
# Streamline account repapering and preserve business during a transition.

Leveraging Docupace's secure, cloud-based platform, automated workflows, compliance knowledge, and hands-on service, advisors have the ability to transition their books of business digitally in as little as 30 days.

- All new account forms are pre-filled and ready to sign before the U4 drop date
- All lines of business are covered
- All documents are stored in a secure and compliant cloud-based vault
- Expert assistance is available to the advisor throughout the process



## Overview of a Typical Timeline for a Transitioning Advisor



**Every successful transition is the result of good planning, preparation, and teamwork.**

The result is the foundation of a well-planned, smooth transition process with minimal disruption to your clients, staff, and revenue.

