

PARTNER BRIEF

Docupace partners with Workplace by OS33 to provide a cutting-edge cloud security and compliance solution to regulated financial firms

With this partnership, we help solve the unique regulatory and security challenges facing the financial services industry.

Regulated financial firms face strict regulatory oversight and are challenged with the protection of client data. COOs, CISOs, CIOs, CTOs, Compliance Officers, and Heads of IT are tasked with ensuring the firm's cybersecurity compliance without compromising on the advisors' productivity.

Docupace is partnering with Workplace by OS33 to provide a market-leading suite of cloud security and compliance software to investment advisory firms, broker dealers, and insurance companies with independent agents, representatives, and advisors. Purposely crafted with the future in mind, the Workplace suite of products is designed to evolve and keep pace with tightening regulations and ever-increasing cyber threats. With this partnership, we help solve the unique regulatory and security challenges facing the financial services industry.



Cybersecurity

Workplace establishes a new type of secure perimeter around sensitive data and applications. Using advanced security technology and dynamic access control, Workplace ensures that data is only accessed by approved users, with compliant devices, operating within specific policies.



Compliance

Workplace works alongside our customers every day to help them meet their organizational security and compliance requirements, along with FINRA, SEC, and state regulations. Their commitment to continuously invest ensures that Workplace customers are prepared for tomorrow's mandates.

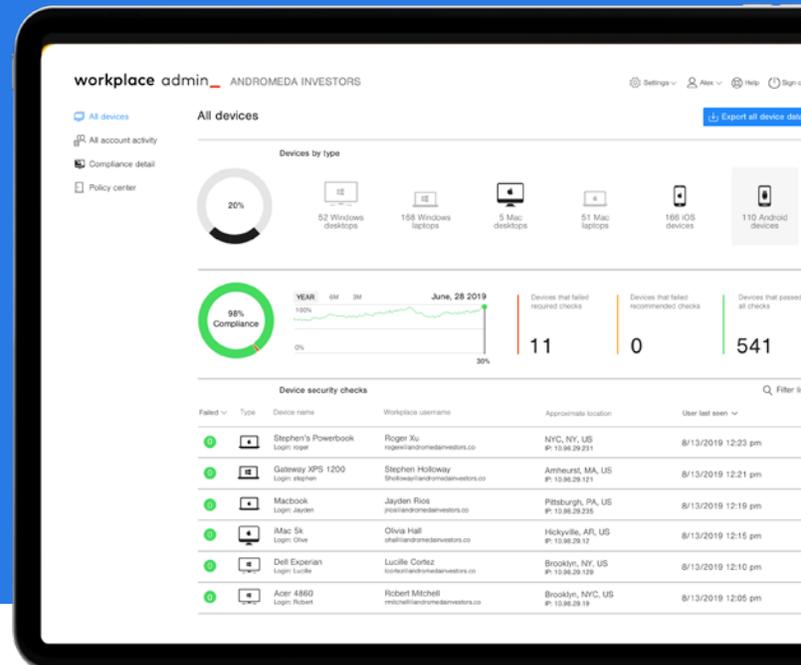


Productivity

Workplace believes that security and compliance need not hinder productivity. Workplace is easy to adopt and uniquely introduces security and compliance controls into your advisors' workflows.

A cutting-edge security perimeter to protect all your firm's data

Your reps' devices pose significant risks and firms are now required by regulators to provide proof of cybersecurity compliance for any device that accesses their data. Using advanced security technology and dynamic access control, Workplace ensures that data is only accessed by approved users, with compliant devices, operating within specific policies.



Workplace Features and Benefits

Dynamic Access Control

Acts as a gate to dynamically permit or deny access based on your firm's compliance policy.

Endpoint Device Compliance

Audit-ready reporting and device forensics for all agents, reps, and advisors accessing your firm's data.

Device Remediation

Mitigate your cybersecurity risk – drive towards 100% compliance for all agents, reps, and advisors accessing your firm's data.

Compliance Dashboard

Instant access to compliance insights with full visibility and control for all devices used by agents, reps, and advisors associated with your firm.

Launch-Point for All Your Apps & Data

Enables a secure perimeter to protect your firm's sensitive applications and data, where they reside.

Secure Identity Management

Provides your agents, reps, and advisors with one-click access to firm-specified applications.

Secure Browser, Built for the Industry

Proprietary technology, uniquely built to exceed FINRA, SEC, and State regulatory guidance. Control common browser functionality to safeguard your firm's data.

Multi-Factor Authentication

Enforces regulatory-required authentication methodology for all your agents, reps and advisors.

Compliance-Ready File System

Safe and simple cloud file storage and sharing for use by your agents, reps, and advisors.

Single Sign-On and Password Management

Improve your firm's password hygiene and increase productivity for your agents, reps, and advisors.