



## THE DOCUPACE PLATFORM

# A comprehensive Solution for Digitizing Your Wealth Management Operations and Increasing Efficiency, Productivity, and Profit.

**Automate the way front-to-back offices operate, communicate, and generate new business—all in one solution.**

The pace of change for financial services industry has accelerated with transformational technologies, increasing levels of competition, privacy issues, document protection, and government regulations. Broker-dealers, RIAs, and financial advisors are developing new business models and engaging with Docupace to increase their operational efficiency, productivity, and profit, while also sustaining audit-risk assurance.

Docupace is the only digital operations platform designed specifically for wealth management firms — that's why our clients trust us to keep them efficient, productive, and SEC/FINRA compliant. The platform is a comprehensive, customizable cloud-based solution for digitizing your wealth management operation and simplifies the process of capturing, organizing, routing, and accessing information.



### **Elevate the Advisor Experience**

Make it easy and save time for advisors to onboard clients, open new accounts, and receive commissions, and more with a completely digital process.



### **Transform Business Operations**

Work smarter and eliminate costly errors by implementing best practice automated workflows developed with the latest technology and compliance standards.



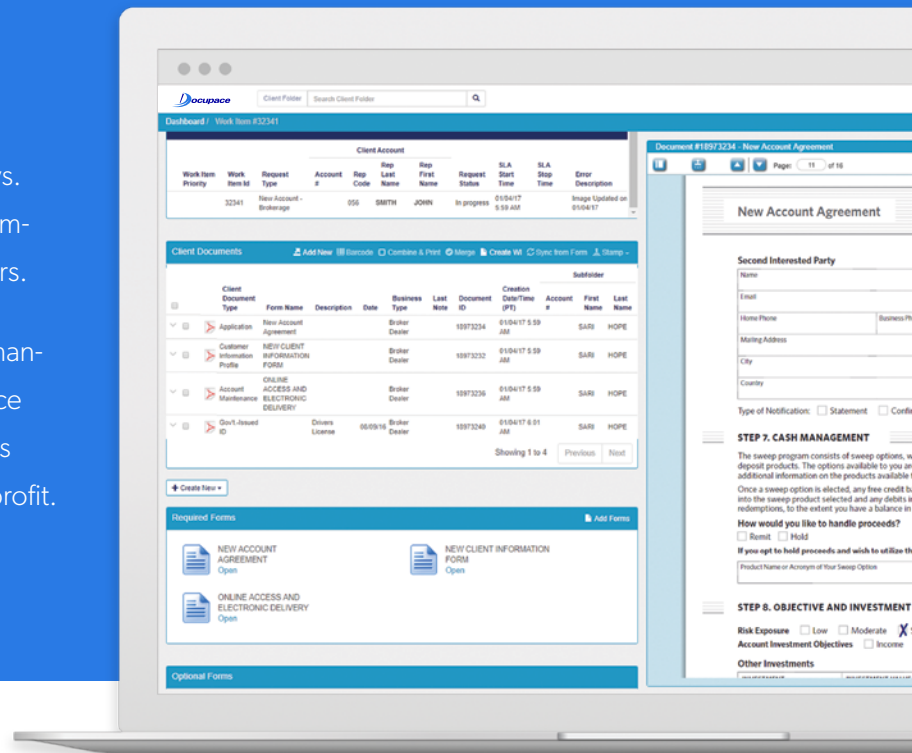
### **Reduce Compliance Risks and Costs**

Reduce your risk and compliance complexity, while realizing an increase in profits by streamlining your compliance workflows and business rules.

# We're on a Mission to Eliminate Paperwork.

Paper-based processes cause costly errors and delays. With powerful paperless workflows, Docupace streamlines business for home offices, advisors, and investors.

From automating client onboarding and document management to advisor transitions and beyond, Docupace cloud-based platform simplifies the way firms process information, increasing efficiency, productivity, and profit.



## Platform Foundations

- 1 Forms Processing
- 2 Workflow Engine
- 3 Security and Compliance
- 4 APIs and Integrations
- 5 Document Storage

## Your Platform, **Your Rules**

Take the solutions that suit your needs, leave the ones that don't.

### Featured Solutions



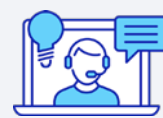
#### Client Onboarding

Open new accounts and update them faster with pre-populated forms, automatic data synchronization, and built-in e-signature, compliance checks, and workflows.



#### Document Management

Store and consolidate your firm's records into one secure and SEC/FINRA compliant, cloud-based document storage and organization solution so you'll always be audit ready.



#### Advisor Transitions

Recruiting advisors to your firm? Enable advisors to re-paper their book of business easily and faster so you can keep more clients and minimize business interruptions.

Ready to **transform your operations**? Visit [docupace.com](https://docupace.com) or call 1 (888) 508-1996 for more information.

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