Docupace

DOCUPACE FOR CLIENT ONBOARDING

The Comprehensive Paperless Client Onboarding Solution for Wealth Management Firms

Stop the paperwork pileup. Use a single platform to process all lines of business with streamlined universal account opening and maintenance.

Opening and maintaining accounts requires filling out a lot of paperwork with a ton of repeated information. It's a lengthy process, and every error makes it longer. What if you could eliminate errors and delays from your new business workflows?

With Docupace, you can shrink processing times from days to minutes. All the forms you need are preloaded in the Docupace Platform. Pull in client data from your CRM or just start typing, then watch as information automatically populates across all relevant forms. Meanwhile, Docupace scans everything for errors and inconsistencies. Sign the documents electronically, and you're ready for submission.

Submit paperwork correctly the first time.

Docupace automatically pulls the correct forms in the correct order and checks for compliance errors, dramatically reducing NIGO rates.

Work at the speed of paperless.

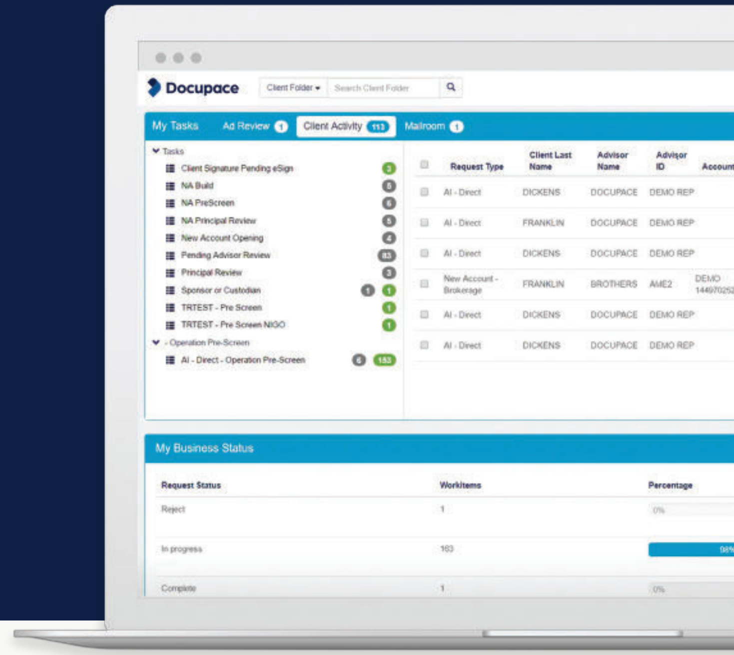
With electronic processing, new account paperwork can be electronically signed, recorded, processed, confirmed, tracked, and transmitted — all within minutes.

Make more time for what matters.

Advisors have more important work to do than filling out repetitive forms and chasing down signatures. Reduce manual processes and give advisors more time to serve their clients.

Digitize your entire business with Docupace.

With a centralized, secure, cloud-based platform for processing business, broker-dealers, RIAs, and advisors can leverage a straight-through processing solution that makes the new client onboarding process simple.



Designed for Wealth Management

- Reduce NIGO rates to low single digits
- Meet SEC and FINRA compliance requirements
- Integrate with existing operations and technology infrastructure
- Track account processing in real time from any office
- Process all lines of business quickly: brokerage, advisory, annuities, and mutual funds

Better Investor and Advisor Experiences

- Reduce manual processes with a paperless environment
- Catch errors and inconsistencies prior to submission
- Save time with automatic data sync and compliance checks
- Take advantage of dynamic data-driven new account opening and maintenance
- Leverage mobile check capture and deposit

Built-in Compliance Oversight

- Enable simple, flexible compliance reviews
- Maintain complete audit trails and support
- Eliminate errors with a validation engine and workflow rules

Seamless Integration with Leading Tools

- Auto-populate data from your CRM or another platform
- Sign documents electronically with DocuSign and SIGNiX
- Take advantage of clearing and custodian integrations

Ready to transform your operations? Client onboarding is just the beginning.

Docupace Platform includes solutions for secure document management, advisor transitions, and so much more.

Discover Docupace at **docupace.com** or call **(888) 508-1996**.