

RIA PRODUCTIVITY SUITE FROM DOCUPACE

Simplified, Automated and Digital Toolkit Empowering Growth, Scale & Streamlined Operations for Advisory Firms

Building and maintaining a thriving financial advisory firm is challenging in today's disruptive environment. Maximizing scalability, delivering a differentiated client experience, and attracting top talent to an RIA firm requires strategic focus and transformative technology. Adopting intelligent, automated solutions allows you to meet ever-changing regulatory requirements and exceed rising client demands.

The RIA Productivity Suite from Docupace is a toolkit designed specifically for advisory firms – empowering them to digitize, streamline, and automate operations in a meaningful way. Equipped with several out-of-the-box capabilities and essential integrations, this powerful platform unlocks opportunities for RIA firms to reduce operational costs, improve efficiency, attract top-tier talent, strengthen recruiting, and enhance the client experience they deliver.

The intelligent, comprehensive suite of solutions makes it easier than ever before to move your RIA firm from manual to digital.



Easy Client Onboarding

Streamline onboarding new clients and opening new accounts for a positive customer experience.



Efficient, Cost Effective Operations & Processes

Key technologies improve efficacy and efficiency so you can grow to serve more clients without growing your expenses.



Connected Technology Systems

Essential integrations with leading CRMs automate processes and eliminate the reentry of data.



Modern Client Experience

Compete in today's market and attract higher valuations on M&A market with faster growth rates and increased efficiency.

RIA Productivity Suite Includes:

- › Digital Client Onboarding*
- › New Account Opening
- › Forms Library & Bundling
- › Automated, Rules-based Data Validations
- › Robust Workflows & Dynamic Forms Processing
- › Secure Document Management

Leading CRMs

- › Redtail
- › Salesforce
- › Practifi
- › Wealthbox
- › SmartOffice

Pre-Packaged Solution for Leading RIA Custodians

- › Axos Advisor Services
- › Charles Schwab
- › Fidelity Clearing
- › Pershing
- › Pershing Advisory Services
- › TD Ameritrade

**Integration requires active PreciseFP license*

The RIA Productivity Suite Streamlines Operations Management for Your Firm

The RIA Productivity Suite is specifically designed to remove four significant barriers in the way of growth and scale for RIAs. By streamlining client onboarding through automation, integrating systems and data, digitizing the client experience and reducing the cost of administrative work the Productivity Suite is built to enable growth-minded RIAs to deliver superior advisor and client experiences at scale and lower cost.

Step 1: Account Setup
Please enter the following information to set up your new account.

Firm: RIA Firm

Rep Code: ABC123

Custodian: FIDELITY

Registration Type: IRA - Roth

Account Options: None Selected

NEXT

Start Over

Overview
Firm - RIA Firm
Client/Entity name -
Rep - ABC123
Vendor Custodian - F
Product Type - Advis
Transaction Type - Ne
Registration Type - IR
Account Options -

Stakeholder Benefits of Operational Excellence

RIA founder/CEOs

- Strong consultative partner to help scale business
- Modern fintech designed for the financial services market
- Technology as a recruiting tool
- Delivers ROI on tech spend

Operations Leads

- Configurable technology stack to create optimized operational flow
- Dashboard view of all work in progress
- Software that scales and grows as the business grows with minimal operations overhead and time investment

Financial Professionals

- Integrates with CRM and removes rekeying of data
- Single platform for all client servicing needs
- Simplified interaction with clients and investors
- Repeatable process with views into work

Investors

- Simple interactions with the financial professional
- Easy and modern digital experience

Need an Adrenaline Shot for Your Business? Experience the Power of the Docupace Platform

The Docupace RIA Productivity Suite ensures a secure, comprehensive, up-to-date digital experience for you, your employees, and your clients. Contact us today to learn more.

Visit www.docupace.com