



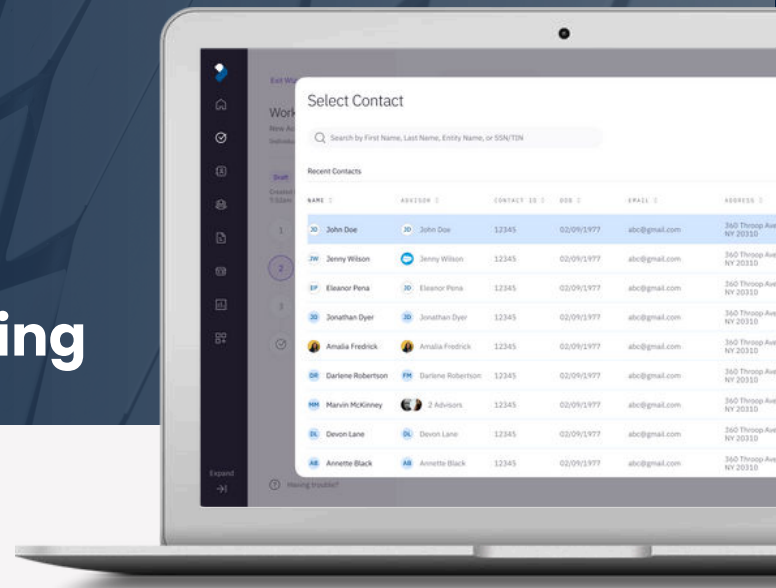
Docupace for New Account Opening

Say Goodbye to Manual, Error-Prone Account Opening

Opening new accounts is one of the most critical workflows in wealth management. But for those not using the right technology, it's often the most frustrating!

Whether they're opening brokerage, advisory, insurance or retirement accounts, many firms still rely on outdated, paperwork-heavy processes that lead to delays, errors and operational inefficiencies.

 **More than 2.6 million** new accounts were opened with Docupace in 2024



Docupace eliminates these obstacles by transforming new account opening (NAO) into a seamless, digital experience. The Docupace Platform simplifies every step, from selecting the right forms to verifying data and securing digital signatures. That way, firms can onboard clients with speed and accuracy.

Without Docupace, Firms Face:



Inefficient Manual Processes

Advisors waste time filling out redundant paperwork. Back office teams spend hours chasing down corrections. Clients get frustrated by delays.



High Error Rates (NIGOs)

Missing signatures, outdated forms and incomplete information slow account creation and force advisors to redo work.



Compliance Challenges

Manual workflows make audits and oversight difficult. Inconsistent documentation increases the risk of errors.



Growth Bottlenecks

As firms scale, inefficient back office processes can't keep up, forcing costly staffing increases and slowing growth.

What You Get with Digital New Account Opening from Docupace



End-to-End Digital Workflows

No more manual form selection, redundant data entry or chasing signatures



Automated Form Selection and Compliance Checks

So the right documents get used for each account type and custodian



Real-Time Tracking and eSignature Support

Gain full visibility from initiation to funding

Designed for Modern Wealth Management Firms

Built on real user insights, Docupace delivers a powerful, scalable solution for wealth management firms looking for efficient, error-free account opening.

Smart Form Selection and Prepopulated Data:

Reduce errors by automatically pulling in the correct forms and the latest client details

Seamless Integration: Connect with custodians, clearing firms and compliance workflows without friction

eSignatures and Automated Routing:

Clients and advisors can sign from anywhere, eliminating back-and-forth delays

Customizable and Scalable Solutions:

Support multiple business lines (brokerage, advisory, annuities, mutual funds) all in one system

Real-Time Workflow Tracking: Monitor progress, reduce bottlenecks, and ensure fast, compliant account openings



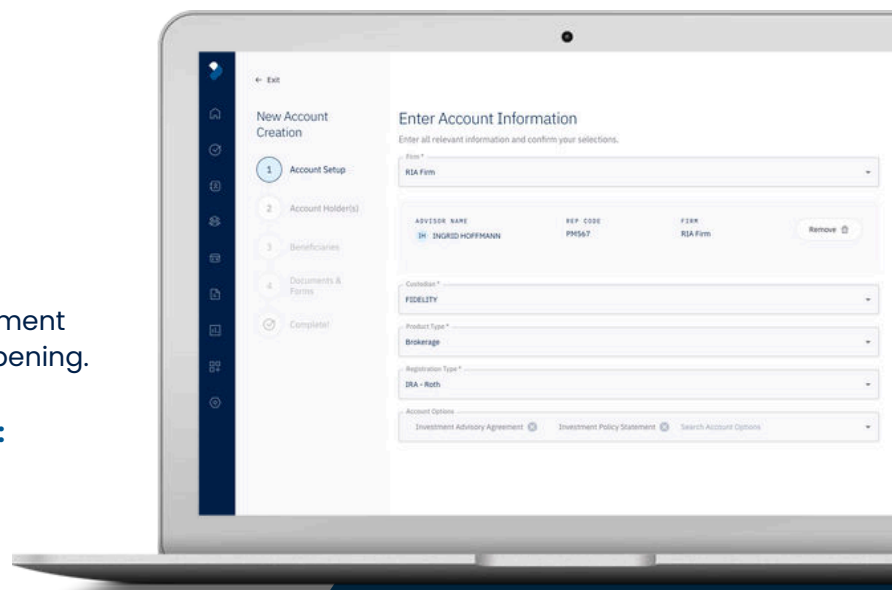
Seamless Data Integration

Pull client information directly from connected CRMs and custodians to **minimize manual entry and errors**



Lower NIGO Rates and Faster Approvals

Reduce costly errors and rework, accelerating account opening



Turn Account Opening into a Competitive Advantage with Docupace